

ELECTRICITY INDUSTRY ACT 2004

ELECTRICITY INDUSTRY (WHOLESALE ELECTRICITY
MARKET) REGULATIONS 2004

WHOLESALE ELECTRICITY MARKET RULES

Market Procedure for:
Registration and De-registration of Rule
Participants

Version 2

Commencement: This Market Procedure is to have effect from 8:00am (WST) on the same date as the Wholesale Electricity Market Rule, in which this procedure is made in accordance with, commences

Version history

21 September 2006	Market Procedure for Registration of Rule Participants as at Market Start
10 June 2008	IMO amended changes to the procedure resulting from Procedure Change Proposal PC_2008_01
Xx xxxx 2009	IMO added material pertaining to rule participant de-registration

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1. Rule Participant Registration and De-Registration Procedure

The Rule Participant Registration and De-Registration Procedure covers the process by which an **Person** entity may be registered or de-registered ~~the application~~ by the IMO as a Rule Participant. All Rule Participants are able to access the Market Participant Interface (MPI, also known as the Wholesale Electricity Market Systems or WEMS) and Rule Participants that are also Market Participants may provide ~~Registration entails the provision of~~ The application process is described for those who are interested in applying to become a Rule Participant. The process to be applied followed by both the IMO and Persons wishing to be registered as a Rule Participant, in processing applications as a pre-requisite to that Person offering to provide Reserve Capacity, or Energy to the Wholesale Electricity Market or simply the ability to access the market participant interface (for non-trading participants). ~~The procedure also outlines the processes to be followed by both the IMO and Rule Participants when applying to be de-registered in a particular class is also described. The procedure includes an overview of the process, the~~ Information that is required to be exchanged, and the timing and sequence of events in relation to the registration and de-registration processes is also described.

1.1 Relationship with the Market Rules

- (a) This Procedure has been developed in accordance with, and should be read in conjunction with sections ~~Chapters 2.28 through 2.31 and 2.334~~ of the Wholesale Electricity Market (WEM) Rules (Market Rules). ~~deal with participation (in the market) and registration.~~
- (b) References to particular Market Rules within the Procedure in bold and square brackets **[MR XX]** are current as at 176 ~~February~~ April 2009. These references are included for convenience only and are not part of this procedure.
- (c) This procedure is made in accordance with MR2.31.233.

~~This procedure is made in accordance with Market Rule 2.31.23.~~

1.1.2 Interpretation

In this procedure, unless the contrary intention is expressed:

- 1.(a) terms used in this procedure have the same meaning as those given in the Wholesale Electricity Market Rules (made pursuant to the Electricity Industry (Wholesale Electricity Market) Regulations 2004);
- 2.(b) to the extent that this procedure is contrary or inconsistent with the Market Rules, the Market Rules shall prevail to the extent of the inconsistency;

~~3.(c)~~ a reference to the Market Rules or Market Procedures includes any associated forms required or contemplated by the Market Rules or Market Procedures; and

~~4.(d)~~ words expressed in the singular include the plural or vice versa.

1.21.3 Purpose

The purpose of this procedure is:

(a) to describe the steps that an applicant ~~Person wishing to become a Rule Participant~~ is required to complete to be registered or de-registered as a Rule Participant; ~~and~~

~~(b) to describe the steps that the IMO must follow in assessing an Rule Participant application for registration or de-registration;~~

~~to describe the steps that an applicant wishing to de-register as a Rule Participant is required to complete; and~~

~~to describe the steps that the IMO must follow in assessing an application to de-register as a Rule Participant.~~

~~to describe the steps that the IMO must follow in assessing an Rule Participant application from a person to become a Rule Participant;~~

~~to describe the steps that an Personapplicant wishing to de-register as a Rule Participant is required to complete; and~~

~~to describe the steps that the IMO must following in assessing an application from a person to de-register as a Rule Participant.~~

1.31.4 Application

This procedure applies to:

~~(a)~~ An applicant ~~Person~~ wishing to ~~become~~ register as a Rule Participant;

~~(b)~~ a Rule Participant wishing to register in an additional Rule Participant class; ~~and~~

~~(c)~~ a Rule Participant wishing to de-register from one or more classes; and

~~(d)~~ the IMO in relation to its~~the~~ processing of an application for Rule Participant registration or de-registration.

1.41.5 Overview of Rule Participant Registration

~~Registration as a~~ A Rule Participant ~~makes a party subject~~ is obliged to comply with ~~to~~ the Market Rules. ~~Existing and new~~ To ensure compliance, a Rule Participant must be conversant with the most up-to-date set of Market Rules. (available on the IMO website http://www.imowa.com.au/market_rules.htm).

Rule pParticipants which trade or intend to trade in the ~~wholesale market~~WEM are required to ~~become Market Participants, unless given an exemption by the IMO [MR2.28]~~ register as a Market Participant (i.e. a Market Generator or a Market Customer or both). However, the IMO may exempt an entity with generating capacity from the requirement to register if the generation system fulfils the conditions set out under MR 2.28.16B. Forms related to the registration process can be found on the IMO website (http://www.imowa.com.au/10_5_1_market_forms.htm)

Any entity applying for registration as a Rule Participant must be registered for GST upon submitting its application. ~~They must~~ and also meet ~~satisfy the criteria outlined in MR 2.28.19:~~

- a) be resident in, or have permanent establishment in, Australia;
- b) not be an externally-administered body corporate (as defined in the Corporations Act), or under a similar form of administration under any laws applicable to it in any jurisdiction;
- c) not have immunity from suit in respect of the obligations of a Rule Participant under these Market Rules; and
- d) be capable of being sued in its own name in a court of Australia.

As listed under MR 2.28.1, the classes of Rule Participant include:

Network Operator;

Market Generator;

Market Customer;

Ancillary Service Provider;

System Management; and

IMO.

As per MR 2.28.16, the IMO may determine that an entity is exempted from the requirement to register in a particular class.

In short, ~~the full~~ registration process ~~will~~ involves:

- Submitting the Rule Participant Registration form¹,
- Payment of the applicable registration application fee²;

—If the registration application is successful, registration as a Rule Participant in the MPI under an appropriate ‘short name’³ will ensue. Once registered, a Rule Participant is bound by the Market Rules.

- ~~registering as a Rule Participant, which has the affect of making the applicant subject to the Market Rules; and~~
- Once a Rule Participant is registered and their ‘short name’ appears in the MPI Registration screen, ~~registration of~~ facilities can occur (if applicable), ~~which has the affect of allowing a Rule Participant to produce, consume or transmit electricity.~~
- A contact for the new Rule Participant must be chosen and their details entered into the blank tabs under the headings ‘Application’ and ‘Contacts’.

~~The classes of Rule Participant that can be applied for include Network Operator, Market Generator and Market Customer [MR2.29]. A Rule Participant that is registered as a Market Generator or Market Customer is a Market Participant for the purpose of the Market Rules. Certain aspects of this Procedure are not required until actual participation in the Energy Market is to occur.~~

The process for registering facilities is outlined in ~~a separate procedure~~ the Market Procedure for: Facility Registration, Facility de-Registration and Facility Transfer.

Table Exhibit 1-1 shows who lists those entities which must is required to be registered as a Rule Participant and those which have the option of doing so. It also shows who is not required to be registered as a Rule Participant but has the option to become a Rule Participant [MR2.28.14, ~~&~~ MR2.28.15 & MR2.28.16].

¹ Applications forms are available at: http://www.imowa.com.au/10_5_1_market_forms.htm

² Registration application fees are listed at: http://www.imowa.com.au/10_5_1_registration_documents.htm

³ A ‘short name’ is the approved abbreviated form of a Rule Participant’s name used in the MPI.

Exhibit Table 1-1: Rule Participation Requirements Types of Rule Participants

Role of the Applicant after Energy Market Commencement	Situation	Rule Participation	Rule Participant Class if Registered
Owns, controls, or operates a transmission or distribution network which forms part of the South West int erconnected ss System or is electrically connected to that system.	System Management has informed operator the IMO that System Management it does not require information about the facility to maintain Network System Security and Reliability. [(MR 2.28.3(a))]	Optional	Network Operator
	No Market Participant Registered Facilities are directly connected to the Network. [(MR 2.28.3(b))]	Optional	Network Operator
	The IMO has exempted the operator-person from the requirement to register. [(MR 2.28.16)]	Optional	Network Operator
	All other situations [(MR 2.28.2)]	Compulsory	Network Operator
From some time after Energy Market Commencement, intends to own, control or operate a transmission or distribution network which forms part of the South West int erconnected s System or is electrically connected to that system.	All situations. [(MR 2.28.4)]	Optional	Network Operator
Owns, controls, or operates a generating facility with a rated capacity of greater than or equal to 10 MW which is electrically connected to a transmission or distribution network which forms part of the South West int erconnected ss System or is electrically connected to that system.	The IMO has exempted the operator-person from the requirement to register. [(MR 2.28.16)]	Optional	Market Generator
	All other situations [(MR 2.28.6)]	Compulsory	Market Generator
Owns, controls, or operates a generating facility with a rated capacity of less than or equal to 10 MW, but greater than or equal to 0.005 MW, which is electrically connected to a transmission or distribution network which forms part of the South West int erconnected s System or is electrically connected to that system.	All situations [(MR 2.28.7)]	Optional	Market Generator
From some time after Energy Market Commencement, intends to own, control or operate a generating facility with a rated capacity of greater than or equal to 0.005 MW which will be electrically connected to a transmission or distribution network which forms part of the South West int erconnected s System or is electrically connected to that system.	All situations [(MR 2.28.8)]	Optional	Market Generator

Role of the Applicant after Energy Market Commencement	Situation	Rule Participation	Rule Participant Class if Registered
Sells electricity to <u>Contestable</u> customers in respect of facilities electricity connected to a transmission or distribution network which forms part of the South West interconnected system or is electrically connected to that system.	The IMO has exempted the operator person <u>entity person</u> from the requirement to register. [(MR 2.28.16)]	Optional	Market Customer
	All other situations [(MR 2.28.10)]	Compulsory	Market Customer
From some time after Energy Market Commencement, intends to sell electricity to customers in respect of facilities electricity connected to a transmission or distribution network which forms part of the South West interconnected system or is electrically connected to that system.	All situations [(MR 2.28.11)]	Optional	Market Customer
Any other person who sells or purchases electricity or another service contemplated by the Market Rules to or from the IMO.	The IMO has exempted the operator person <u>entity person</u> from the requirement to register. [(MR 2.28.16)]	Optional	Market Generator or Market Customer, as determined by the IMO.
	All other situations [(MR 2.28.13)]	Compulsory	Market Generator or Market Customer, as determined by the IMO.

1.51.6 Procedure Steps to be followed by the Applicant

~~The application process is completed using the Wholesale Electricity Market System ("WEMS"). In order to be registered as a Rule Participant as well as~~ To obtain access to the Wholesale Electricity Market Systems (WEMS or MPI ~~or MPI~~), an applicant must ~~apply manually complete using~~ the Application to Register for WEMS Access form ~~and submit it to the IMO.~~ The form is available from the IMO website (http://www.imowa.com.au/10_5_1_market_forms.htm). The form will provide the IMO with the information necessary to issue the applicant with a digital certificate, which is required for access to the WEMS.

1. The Application to Register for WEMS Access form: After completing the ~~Application to Register for WEMS Access~~ form, the applicant ~~is to~~ submits the signed form to the IMO along with the applicable Registration ~~application Fee [MR2.31.2]⁴ (see the IMO website, ~~http://www.imowa.com.au/10_5_1_registration_documents.htm~~). The applicant ~~must provide the~~ following information is also required:~~

- ~~Organisation~~ entity's name and contact details;

⁴ see the IMO website at http://www.imowa.com.au/10_5_1_registration_documents.htm

- applicable registration class/~~category for registration~~;
- the name of the main user (other users may be added after the registration has been approved).

The Application to Register for WEMS Access form must be signed:

- by two of the entity's Directors ~~of the organisation~~; or
- one Director and the Company Secretary ~~offrom~~ the ~~organisation~~entity; or
- if the ~~organisation~~entity has only one sole director, by that Director.

The signatories to the Application to Register for WEMS Access form do not have to be either named as the contact person or user for the even a WEMS user.

The Application to Register for WEMS Access form contains an undertaking to comply with the obligations set out in the Market Rules and a declaration as to the accuracy of information provided to the IMO. ~~The signatories to the Application to Register for WEMS Access form do not have to be named as contact person or user for the WEMS.~~

2. Recipient Created Tax Invoice: The applicant ~~will need~~may to execute enter into an Agreement for the IMO to Issue Recipient Created Tax Invoices⁵ (RCTIs) on their own accord. ~~The template Agreement is available from the IMO website (http://www.imowa.com.au/10_5_1_market_forms.htm).~~ However an applicant must enter into a recipient created tax invoice agreement if requested~~red~~ to do so by the IMO in accordance with clause 9.1.2(e).

3. If a Rule Participant enters into this~~The~~ Agreement it will need to be signed by an individual ~~person within the organisation~~ with the authority to enter into such an agreement on behalf of the ~~company~~organisation, in the presence of a witness able to independently verify the identity of the signatory and the signatory's position within the organisation.

The relevant company particulars will need to be ~~added to~~included in the Agreement, and the ~~executed~~ signed Agreement provided to the IMO by mail or courier to the IMO's address, as listed in the Notice and Communication Procedure. The Agreement also ~~allows~~ enables Rule Participants to issue Recipient Created Tax Invoices for Registration Fees.

- 3 Invoice for registration application fee: Upon receiving an invoice from the IMO ~~covering for~~ the ~~Participant~~ Registration application fee, the applicant must pay the ~~F~~fee within ~~the~~

⁵ The template Agreement is available from the IMO website at http://www.imowa.com.au/10_5_1_market_forms.htm

~~timelines provided~~ 30 days from the date on the invoice. ~~Should the applicant may prefer to issue an RCTI for the registration fee (and any subsequent facility registration fees) instead of receiving an invoice from the IMO. If this is the case, the applicant must inform the IMO upon applying for registration and upon signing the Agreement for the IMO that it wishes to issue an RCTI's by both parties and issue an RCTI covering the Registration application fee (and any subsequent facility registration fees). A copy of this RCTI must be sent to the IMO for the applicable registration fees upon applying for registration..~~

4. ~~Should the applicant prefer to issue an RCTI for the registration fee instead of receiving an invoice from the IMO, the applicant must inform the IMO upon applying for registration and, upon signing the Agreement for the IMO to issue RCTI's by both parties, issue a RCTI covering the Participant Registration Fee (and any subsequent Facility Registration Fees). A copy of this RCTI must be sent to the IMO.~~
5. Digital Certificate and accessing WEMS: On receipt of a valid Digital Certificate from the IMO, the applicant will be able to access the WEMS via the internet in order to ~~apply to register~~ enter their details in the system as a ~~Market Rule~~ Participant. To access the WEMS, the applicant must log into the web server from their internet browser and have the IMO-issued digital certificate installed in their browser. Information on accessing and logging onto the WEMS will ~~be provided by the IMO with~~ accompany the Digital Certificate.

6 Upon successfully logging into the WEMS, the Market Participant Interface Home Page will be displayed. To apply for registration as a Rule Participant, the applicant will be required to complete the on-line forms in the Registration menu. These forms are listed under different category display tabs, namely:

- Application;
- Contacts;
- Users;
- Prudential Support ~~(this is not required until participation in the Energy Market occurs);~~
- Financials ~~(this is not required until participation in the Energy Market occurs);~~ and
- Facilities.

7 The applicant must fill out the required information in the respective on-line displays, with the exception of Facility registration which may be done separately. For a more

detailed description on the steps for completing the Market Participant Registration Details ~~on the~~[in](#) WEMS, applicants should refer to the Market Participant Registration Software User Guide (“User Guide”) ~~attached to this procedure~~[provided with the digital certificate](#). The User Guide describes the processes for both Operators and Market Participant Users. The applicant should read the processes outlined for Market Participant Users.

Application for Authorisation to Participate

~~8~~[9](#)The Application ~~D~~[Display](#) ~~on the~~[in](#) WEMS, ~~allows~~ [is for](#) the applicant ~~to~~ [to record complete](#) general application details, such as organisation information and to confirm certain requirements. The fields to be completed by the applicant in the Application Display are described in section 3.4 of the User Manual [which includes](#) an illustration of the Application Display screen on the WEMS. [Upon initial login, this is the only screen able to be viewed by a new Rule Participant. Once further details have been submitted and approved by the IMO, further screens will become accessible.](#)

Contacts

~~9~~The Contacts ~~D~~[Display](#) ~~allows~~ [is for](#) the applicant to add a contact person’s details. The fields to be completed in the Contacts Display are described in section 3.5 of the User Manual including an illustration of the Contacts Display screen ~~on the~~[in](#) WEMS.

Users

~~10~~The Users Display allows the applicant to add new Users or update existing users’ details. The fields to be completed in the Users Display are described in section 3.6 of the User Manual including an illustration of the Users Display screen ~~on the~~[in](#) WEMS.

Prudential Support

Applicants should ~~reference~~ [to](#) the separate Prudential Support Procedure for information on the requirements for Prudential Support. The fields to be completed in the Prudential Support Display are described in section 3.7 of the User Manual including an illustration of the Prudential Support Display screen ~~on the~~[in](#) WEMS. ~~This is not required until participation in the Energy Market occurs, nor is any Credit Support required to be provided until participation in the Energy Market occurs.~~

Financial Details

~~12~~The Financial Details Display ~~allow~~ [is for](#) the applicant to add financial information, including bank name, branch name, BSB Number, Bank Address and Account number. The fields to be completed in the Financials Display are described in section 3.8 of the User Manual including an illustration of the Financial Details Display screen ~~on the~~[in](#)

~~WEMS. This is not required until participation in the Energy Market occurs. An account with the IMO's nominated electronic funds transfer facility, Austraclear, is required to participate in the Energy Market but is not required prior to participation in the Energy Market.~~

Facilities

~~13~~ Applicants are not required to register Facilities as part of the Market Participant registration process. The registration of Facilities is a separate registration process. However, applicants may choose to apply for Facility registration at the same time as Participant registration. Applicants should ~~reference to~~ reference to the separate Facility **Registration Registration, Facility de-Registration and Facility Transfer** Procedure for information on the processes and requirements for registering a Facility. The fields to be completed in the Facilities Display are described in section 3.9 of the User Manual including illustrations of the Facilities Details Display screens ~~on the~~ in WEMS.

Submitting Application Details and Supporting Information

~~14~~ The File Exchange is an interface allowing data to be exchanged between WEMS users and the IMO. Files can be uploaded and downloaded using the File Exchange, which is supported for registration to exchange data. Through this interface an applicant will be able to provide supporting information as required, via a secure process. Any documentation not able to be provided through the File Exchange, must be provided to the IMO by mail or courier to the IMO's address, as listed in the Notices and Communications Procedure.

~~15~~ On completing the application fields, the applicant ~~will~~ is to submit the application information for each display category by clicking on the respective submit button ~~on~~ at the bottom of the relevant application screen. Each screen must be submitted separately, and it is advisable to complete each screen before moving to the next. Note that details can be edited at a later stage by returning to the relevant display screen.

~~16~~ After clicking the submit button, the applicant will receive either:

- a tracking number indicating that the application information has been submitted; or
- notification that errors or omissions are found in the fields completed.

~~17~~ Where notified of errors or omissions, the applicant must rectify these and resubmit the information.

~~18~~The applicant must, if requested by the IMO, provide additional information with respect to any information which, in the IMO's view, was inadequately specified. [\[MR2.31.4\]](#)

~~19~~The IMO may communicate with the applicant through the messaging system ~~on the~~in WEMS. Applicants are able to save these messages in file format. This does not preclude the IMO from using other means of communication with the applicant.

~~20~~Upon approval by the IMO, the applicant will become a Rule Participant in ~~the~~a [particular](#) Rule Participant Class from the date and time indicated in the notification of [registration](#)~~acceptance~~. [\[MR2.31.10\]](#)

1.61.7 Procedure steps to be followed by the IMO

1. [The IMO must notify an applicant of the receipt of the application within one Business Day of receipt of an application form. \[MR2.31.3\]](#)

~~12.~~ On receipt of an applicant's Application to Register for WEMS Access, the IMO must review and check the validity of the application details.

~~23.~~ Where the details provided on the Application to Register for WEMS Access form are complete, the IMO will assign the applicant a 'short name' and create a new user for the purpose of [accessing](#) ~~the~~ WEMS. The IMO will also set the required access privileges for the applicant.

4. [Where the details provided in the Application to Register for WEMS Access form are not complete, the IMO may require that an applicant provide information that is missing or is inadequately specified. The date at which the requested information is submitted to the IMO in full is to become the date of receipt of the application for the purpose of clause 2.31.3 \[MR2.31.4\]](#)

~~35.~~ [If the IMO decides to progress the application, t](#)he IMO will issue the required Digital Certificate(s) for the applicant, and provide the applicant with information on accessing ~~the~~ WEMS to complete the application process.

6. On receipt of the applicant's details, via the WEMS, for registering as a Rule Participant, the IMO must determine if ~~all~~[ny the required](#) information [has been provided](#)

~~7.~~ ~~is inadequately specified. The IMO will request additional information with respect to information that, in the IMO's view, hasis not been adequately specified. The IMO will make its request for further information from the applicant via the WEMSwia email or in the form of a letter. [MR2.31.4]~~[The IMO must not require that an applicant for Rule Participant registration provide information on any application form, or evidence to](#)

support that application form, pertaining to registration if the applicable Market Rules requiring that information to be provided have not commenced. [MR 2.30.C.1]

~~5~~The IMO must determine whether it has sufficient detail information, including any required supporting evidence, to make a determination as to whether or not to the registration of the Rule Participant. The IMO must request further information in respect to any details that, in the IMO's view, should be further explained or evidenced. ~~[MR2.31.4]~~

~~6~~8. The IMO must determine whether it is satisfied that the applicant can comply with the requirements of the Market Rules. ~~[(MRarket Rule 2.31.13(d))]~~The Market Rules list those situations which would give rise to the IMO rejecting a registration application [MR2.31.13].

~~7~~9. The IMO must determine whether the applicant has previously been de-registered as a Rule Participant following an order from the Energy Review Board and, if so, the IMO must determine whether it is satisfied that the applicant has remedied the reason for the prior de-registration. ~~[(MRarket Rule 2.31.13(e))]~~

~~8~~10. The IMO must determine whether the applicant complies with the requirements set out in clause 2.28.19 of the Market Rules.

~~9~~11. The IMO must determine whether the required Registration Fee has been paid, following an invoice from the IMO being sent out. [MR 2.31.13(c)]

~~10~~12. _____ If the applicant informed the IMO (see section 1.5 step 4) that it wished to provide an RCTI instead of being invoiced, the IMO will verify if the required Registration Fee and as well as an RCTI covering the Registration Fee has been provided.

~~11~~13. _____ The IMO must determine whether to accept or reject the application to register as a Rule Participant. [MR2.31.10]

~~12~~14. _____ Prior to notifying the applicant, if the IMO determines that it should accept the application, it will notify System Management, ~~via the WEMS,~~ that the applicant has applied to become a Rule Participant and that the IMO has accepted the application. Pursuant to clause 2.31.22(b) System Management must facilitate participation of the applicant in a Rule Participant class as soon as practicable. ~~[(Market RuleMR 2.31.22)]~~ ~~System Management must respond to the IMO within five Business Days.~~

15. If ~~the~~an applicant applies for Rule Participant Registration in either the Market Generator or Market Customer class the IMO must notify the applicant in writing of the IMO's acceptance or rejection of the application within 20 ~~Business Day~~Business Days [MR2.31.10(b)]. The ~~Within 230 Business Day~~Business Days are starts from of the date of notification of receipt of the application or, if additional information was provided to

the IMO in accordance with Step 2, ~~within 30 Business Days of~~ from the last date date of notification of receipt of the ~~on which~~ additional information, ~~was provided to the IMO, the IMO must notify the applicant, in writing, of the IMO's acceptance or rejection of the application for each Rule Participant Class~~

16. ~~If the an applicant applies for Rule Participant Registration in the Network Operator class the IMO must notify the applicant in writing of the IMO's acceptance or rejection of the application within 5 Business Days [MR2.31.10(e)].~~ Excepting applications to register as a Market Generator or Market Customer or registering a new facility (i.e. non-trading rule participant or network operator registration application), the IMO must determine whether to accept or reject a registration application and notify an applicant within five Business Days from the date of notification of receipt. The ~~5~~ five Business Day ~~Business Days~~ are start from the date of notification of receipt of the application or, if additional information was provided to the IMO in accordance with Step 2, from the date of notification of receipt of the additional information.

17. ~~identified on the applicant's online application.~~

14 The notification to the applicant ~~relating to~~ concerning whether or not the IMO has approved the admission of the applicant into ~~each~~ the Rule Participant Class ~~(es)~~ to which the application relates, will include the following.

- (a) if the application is rejected, the reason for its rejection. ~~[MR(Market Rule 2.31.12)]~~
- (b) if the application is accepted, the date and time from which the applicant will become a Rule Participant in ~~that~~ a particular class, where the date is to be the ~~latest of the date requested in the application and the earliest date by which the IMO can facilitate the registration. (Market Rule 2.31.14)~~ later of the earliest date by which the IMO can facilitate the registration and the date specified in the application. [MR 2.31.11(a)]

15 The IMO's determination of an application may be appealed to the Energy Review Board by the applicant. ~~[(M)arket Rule 2.17.1 (e)]~~

16 Upon accepting the registration of an entity Person in a Rule Participant Class, the IMO must record that registration in its Registry of Rule Participants. ~~[(M)arket Rule 2.31.21)]~~

17 Upon obtaining from a Rule Participant an Agreement for the IMO to Issue Recipient Created Tax Invoices that has been properly executed by the Rule Participant, the IMO will ~~execute~~ countersign the agreement and provide an original ~~copy~~ to the Rule Participant.

1.71.8 Procedure steps to be followed to change data on the Market Participant Registration screens

An applicant or Rule Participant, as the case may be, can create or edit registration details ~~through~~on the relevant display screens ~~on the~~in WEMS. Data submitted through the File Exchange can be revised by uploading a new file under the relevant category. The IMO will review any new or updated information prior to it being accepted.

1.81.9 Additional Users and User Privileges

After registration as a Rule Participant, a Rule Participant can request that the IMO create additional users ~~for the~~to access WEMS, as required. This request is made by entering the details for each new user in the User tab in WEMS and pressing Submit. There is no fee for additional users.

The Rule Participant will need to provide the IMO with the user name, login name and details in relation to the requested access privileges for the user.

Usernames should be in the form 'initial letter of first name' followed by 'surname' (eg JSMITH for John Smith).

Different users can have different access profiles.⁶ ~~(for an overview, see the IMO webpage http://www.imowa.com.au/10_5_1_user_access.htm).~~

The user privileges available are:

- (a) Market Participant User with Add/Edit Privileges
 - restricted only to the data of relevant Market Participant;
 - can view/add/edit the information of relevant Market Participant;
 - cannot view details of other Market Participants;
 - can submit changes;
 - can request to Apply/De-Register/Reactivate for a particular market or a facility. However, these changes are applied to the system only after it has been reviewed and accepted by the IMO;

⁶ For an overview, go to http://www.imowa.com.au/10_5_1_user_access.htm

- (b) Market Participant User with Read Only Privileges
- restricted only to the data of relevant Market Participant;
 - can view the information of relevant Market Participant but cannot submit any changes;
 - cannot view details of other Market Participants.

~~1.10 — Overview of Rule Participant De-Registration~~

1.10 Overview of Rule Participant De-Registration

~~De-registration as a Rule Participant retracts the entity's ability to participate in the WEM, access the MPI and removes a parties their obligations under the Market Rules. It is necessary for existing and new participants to be registered in order to hold Reserve Capacity Credits or to sell buy or sell energy in the Wholesale Electricity Market. The IMO can grant exemptions to be registered as a Rule Participant in particular classes, as detailed in Exhibit 1-1.~~

~~Prior to an applicant being able to applying to be de-registered as a Rule Participant for a class (es) they must have under-taken the following steps, in the case where they are also a Market Participant where applicable:~~

- ~~The Market Participant must apply to the IMO to have any current Capacity Credits for the its Facilities changed to zero (the Market Rules do not allow a facility with current Capacity Credits to be de-registered) [MR2.31.13(k)]~~
- ~~Upon the IMO accepting this an application for de-registration, the Market Participant can then apply to have its facilities de-registered from the market, using the facility de-registration form available on the IMO website⁷. The entity must de-register all facilities which are relevant to the class they are de-registering. This process is covered in more detail in the Market Procedure for Facility Registration, Facility de-Registration and Facility Transfer.~~

~~Once the relevant facility has been granted de-registration by the IMO or has been transferred and it has become effective, the owner relevant Rule Participant can apply to be de-registered as a Rule Participant from the relevant class (es).~~

~~The fact that a person has ceased to be registered in any Rule Participant class does not affect any right, obligation or liability of the person under these Market Rules which arose prior to the cessation of its registration. [MR2.31.17]~~

⁷ This form is also available at this site: http://www.imowa.com.au/10_5_1_market_forms.htm

1.11 Procedure Steps to be followed by the Applicant

Application to De-register as a Rule Participant

1. After completing the application form for Rule Participant de-registration⁸, ~~available on the IMO webpage (http://www.imowa.com.au);~~ the applicant is to submit the form to the IMO along with the relevant, non-refundable, de-registration fee(s) [MR2.31.2]. The applicant must also provide the following information [MR2.33.2]:
 - Organisation name and contact details;
 - The classes of Rule Participation to which the application relates;
 - A proposed date for ceasing operation in each Rule Participant class covered by the application. The date supplied must not be earlier than 10 ~~Business Day~~Business Days after the date of application; ~~and~~
 - Any supporting information that would be beneficial for the IMO to consider when making its decision; and
 - A statement that the information provided is accurate. ~~on the request.~~
2. Market Rule Participants must also make a de-registration request in the WEMS.
 - a. If de-registering as a Rule Participant from all applicable classes ~~currently registered in~~ then a de-registration Schedule must ~~have also been~~ entered into the WEMS.
 - b. if the entity only wishes ~~ant~~ to de-register from a class but remain registered in another class, they are to change the application detail screen so that ~~to~~ only ~~select~~ the class they wish to remain in is selected and update the parameter effective date accordingly.
3. On receipt of an applicant's Application to De-Register for WEMS Access, the IMO must review and check the validity of the application details. When a change is made in the MPI, ~~Aan~~ an automatic notification will be sent to ~~T~~the Market Participant ~~will be notified~~ within one ~~business day~~Business Day of receipt of the application by the IMO [MR2.31.3].
4. The IMO may require the Participant to clarify or provide any additional information necessary for making a decision on their application [MR2.31.4]
5. The Rule Participant's application for de-registration does not affect any of their rights, obligations or liabilities relating to the Market Rules prior to the time of de-registration [MR2.31.17].

⁸ This form is available at this address: http://www.imowa.com.au/10_5_1_market_forms.htm

6. If an entity ~~person~~ has applied to be de-registered ~~from being~~ as a Market Customer or Market Generator ~~then~~, and the application ~~is~~ accepted, they must ~~stop~~ cease trading under ~~this~~ applicable class by the date supplied to the IMO in their de-registration application ~~to de-register as a Rule Participant~~. This date must be no earlier than 10 ~~business day~~ Business Days after the date of application [MR2.31.15].
7. De-registration as a Rule Participant will only be effective from the date ~~after~~ on which all (if any) outstanding debts to the market have been settled ~~.~~ [MR2.31.16].

Prudential Support

8. Once all accounts have been settled and the de-registration is effective, the IMO will repay any credit support held and, upon provision of a release form for execution by IMO Directors, release the fixed and floating charge.
9. As per [MR2.31.16] ~~a~~The Rule Participant's obligations will cease from the end of the first ~~business day~~ Business Day ~~from~~ in which [MR2.31.16]:
 - their application to de-register from a Rule Participant class has been accepted by the IMO;
 - the Rule Participant has de-registered all their facilities applicable to the class to be de-registered from;
 - all outstanding disputes, investigations and enforcement actions have been resolved and settled;
 - all outstanding debts to the IMO have been paid; and
 - the Rule Participant has received final payment for the amounts owed to it by the IMO.

1.12 Procedure Steps to be followed by the IMO

1. Upon receiving an application to be de-registered as a Rule Participant, the IMO must provide receipt of the application within one ~~Business Day~~ Business Day. [MR2.31.3]
2. The IMO must not set about processing the application for de-registration until the ~~registration application~~ applicable fee has been paid by the applicant.
3. If the IMO receives a request from a participant to be de-registered as a Rule Participant via the WEMS but no application form has been supplied the IMO must request the Rule Participant to fill in the relevant de-registration form [MR2.31.2].

~~If the IMO receives a request from a participant to be de-registered as a Rule Participant via the WEMS but no application form has been supplied the IMO must request the Participant to fill in the relevant de-registration form, available on the IMO's website (<http://www.imowa.com.au>).~~

4. If the IMO receives a de-registration form requesting that a Participant be de-registered as a Rule Participant but not corresponding WEMS notification the IMO must request that the participant make a de-registration request in WEMS.

5. The IMO must determine whether the applicant has supplied sufficient detail, including any required supporting evidence, to make a determination as to the de-registration of the Rule Participant. The IMO must request further information in respect to any details that, in the IMO's view, should be further explained or evidenced [MR2.31.4].

~~The IMO must determine whether the required Registration Fee has been paid to the IMO.~~

6. The IMO must check the application details supplied in the WEMS to ensure that:

- ~~If de-registering as a Rule Participant altogether, no loads and no generators are registered in any class in the case of a Rule Participant de-registering completely.~~
- any existing facilities have been transferred or de-registered.

7. Prior to notifying the applicant, if the IMO determines that it should accept the application, it will notify System Management and the Network Operator that the applicant has applied to de-register as a Rule Participant and that the IMO has accepted the application.

8. The IMO must determine whether to accept or reject the application to de-register as a Rule Participant. The Market Rules list those situations which would give rise to the IMO rejecting a de-registration application [MR2.31.13].

9. Within five ~~Business Day~~Business Days of the date of application or, if additional information was provided to the IMO in accordance with Step 4, within five ~~Business Day~~Business Days of the last date on which additional information was provided to the IMO, the IMO must notify the applicant, in writing, of the IMO's acceptance or rejection of the application for each Rule Participant Class identified on the applicant's ~~online~~ application [MR2.31.10(c)].

10. The notification to the applicant relating to whether or not the IMO has approved the de-registration of the applicant as a Rule Participant in one or more classes, will include the following.

(a) if the application is rejected, the reason for its rejection. [MR 2.31.12]

(b) if the application is accepted it must include the following details [MR2.31.11(b)]:

i) where the Rule Participant is a Market Generator or Market Customer, the date and time on which the Rule Participant must cease trading as which will correlate to the start of the trading day originally proposed by the Participant in their application.

ii) a statement that de-registration as a Rule Participant will not take effect until the requirements outlined in section 1.110.9 [MR2.31.16] have been meet.

11. If the IMO has accepted the Rule Participant's application to be de-registered as a Rule Participant, they it must accept the de-registration in WEMS and ensure users will have no access rights effective for the day after the originally proposed ceasing operation date supplied in the application.

12. The IMO's decision to deny termination of an application for de-registration may be appealed to the Energy Review Board [MR 2.17.1(e)].

~~Once all accounts have been settled and the de-registration is effective, the IMO will repay any credit support held and, upon provision of a release form for execution by IMO Directors, release the fixed and floating charge.~~

1.13 Where the ERB issues a de-registration notice

1. Where the IMO receives notice that the Energy Review Board has made a decision in accordance with the Regulations that a Rule Participant be de-registered, the relevant Rule Participant ceases to be a Rule Participant from the time specified in the notice. The IMO must de-register all of the Facilities registered by the Rule Participant by the time specified in the notice. [MR 2.32.7].